

1774 / JFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Tadashi Ishibashi, et al.      **ATTORNEY DOCKET NO.** 09793822-0158  
**APP. NO.** 10/009,021      **GROUP ART UNIT:** 1774  
**FILING DATE:** March 19, 2002      **EXAMINER:** Camie S. Thompson  
**INVENTION:** "ORGANIC ELECTROLUMINESCENT ELEMENT AND LUMINESCENT DEVICE"

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Mail Stop Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Sir:

Submitted herewith is a Supplemental Information Disclosure Statement for consideration in the above-identified application. This Supplemental Information Disclosure Statement is submitted:

- Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.  
 Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or  
 The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- The undersigned counsel for applicant(s) hereby certifies each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- PTO Credit Card Form 2038 in the amount of \$180.00 to cover the required fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,



W. John Keyes, Ph.D., Reg. 54,218  
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Chicago, Illinois 60606  
Telephone (312) 876-8000

**CERTIFICATE OF MAILING**

I hereby certify that this Supplemental Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents at the United States Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450 via First Class Mail on August 4, 2004.

W. John Keyes



Our Case No. 09793822-0158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Tadashi Ishibashi, et al. )      Examiner: Camie S. Thompson  
Application No.: 10/009,021 )      Group Art Unit No.: 1774  
Filing Date: March 19, 2002 )  
For: ORGANIC ELECTROLUMINESCENT )  
ELEMENT AND LUMINESCENT )  
DEVICE )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Each item of information contained in the supplemental disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the supplemental information disclosure statement. 37 C.F.R. Section 1.97(e)(1). A copy of the International Search Report, mailed on June 4, 2004, is enclosed.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Dated: August 4, 2004

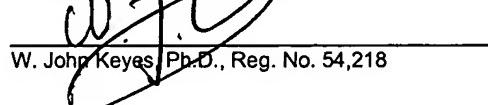
Respectfully submitted,

  
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2004:

  
W. John Keyes, Ph.D., Reg. No. 54,218

<p style="text-align: center;">AUG 09 2004 O P E C I S E P A T E N T &amp; T R A D E M A R K C O M M I S S I O N</p> <p><b>37 CFR 1.501</b> <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> <b>IN A PATENT</b> (use several sheets if necessary)</p>				Docket No. 09793822-0158	Serial No. 10/009,021			
				Applicants: Tadashi Ishibashi, et al.				
				Filing Date March 19, 2002	Group Art Unit 1774			
<b>U.S. PATENT DOCUMENTS</b>								
Examiner's Initials		Document Number	Date	Country	Class	Subclass	Filing Date If appropriate	
	AA	2001/0033945	10/25/2001	U.S.				
	AB	6,228,514	05/08/2001	U.S.				
	AC							
	AD							
	AE							
	AF							
	AG							
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AH	0954205	11/03/1999	EP			X	
	AI	0929104	07/04/1999	EP				X
	AJ	06001973 (includes English abstract)	01/11/1994	JP				X
	AK	1073128	01/31/2001	EP			X	
	AL							
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	AN							
	AO							
	AP							
	AQ							
	AR							
	AS							
	AT							
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	AU							
	AV							
Examiner			Date Considered					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 1

37 CFR 1.501  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
IN A PATENT  
(use several sheets if necessary)

Docket No.  
09793822-0158

Serial No.  
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Applicants:  
Tadashi Ishibashi, et al.

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	AC						
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	AF						
	AG						

#### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AH	0954205	11/03/1999	EP			X	
	AI	0929104	07/04/1999	EP			X	
	AJ	06001973 (includes English abstract)	01/11/1994	JP				X
	AK	1073128	01/31/2001	EP			X	
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#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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